SAMOA

FOUNDATIONS (FEES AND FORMS) REGULATION 2017

Arrangement of Provisions

Citation and commencement
 Interpretation
 Fees
 Schedules

<u>PURSUANT</u> to section 89 of the Foundations Act 2016 ("the Act"), <u>I, TUIMALEALIIFANO VAALETOA</u>
<u>SUALAUVI II</u>, Head of State, acting on the advice of Cabinet, <u>MAKE</u> these Regulations:

DATED this 4th day of December 2017.

signed: (Tuimalealiifano Vaaletoa Sualauvi II)

HEAD OF STATE

REGULATIONS

1. Citation and commencement - These Regulations may be cited as the Foundations (Fees and Forms) Regulations 2017, and commence on the date of assent by the Head of State.

- **2. Interpretation** In these Regulation, unless the context otherwise requires:
 - "SIFA" means the Samoa International Finance Authority;
 - "US\$" means the unit of currency of the United States of America.
- **3. Fees**-(1) The fees required to be paid under the Act are set out in Schedule 1.
- (2) The fees are to be paid to the Registrar in a manner as the Registrar may direct.
- **4. Forms** The forms approved by the Registrar are set out in Schedule 2 to be used for related purposes of the Act.

SCHEDULE 1

Regulation 3

FEES TABLE OF FEES TO BE PAID TO THE REGISTRAR OF FOUNDATIONS

FEE NUMBER	MATTERS FOR WHICH PAYABLE ORATION AND ANNUAL RI	AMOUNT <u>US\$</u>
1. INCORP	Application for registration	200-00
1.	of a foundation by the	200-00
	resident agent [S 6(1) and	
	(2)and Sch.4 clause 6 (1)]	
2.	Application to renew	200-00
	registration of a foundation -	
	annual fee – (Sch. 4 clause 6	
	(5)).	
3.	For late renewal	50-00 per
		quarter
II. C	CHANGES IN AND RECTIFIC	CATION
	OF THE REGISTER	
4.	Filing of Deed appointing a	10-00
	successor supervisory person	
	or successor guardian [Sch. 3	
5.	clause 2 (2)]	10.00
5.	Submission to the Registrar by notice of a change of	10-00
	registered particulars of a	
	foundation by the resident	
	agent [Sch. 4 clause 9 (1)]	
6.	Submission of an application	10-00
••	by or on behalf of the	10 00
	founder or an official to	
	rectify the Register [Sch. 4	
	clause 9 (4)]	
7.	Filing with the Registrar of	10-00
	an order authorizing	
	rectification of the Register	
	on an application on behalf	
	of the founder or an official	
	[Sch. 4 clause 9 (5)]	

III. RESIDENT AGENT AND REGISTERED OFFICE		
8.	Application to the Registrar	10-00
	by the resident agent to be	
	removed as resident agent	
	[Sch. 4 clause 21 (1)]	
9.	Notice of change of resident	10-00
	agent and of registered office	
	[Sch. 4 clauses 2 (2) and 9	
	(1)	
10.	Application by a resident	10-00
	agent to the Registrar for	
	records of a foundation [S.	
	12 (2)(a) and (b)]	
11.	Written notice by the	50-00
	resident agent to the	
	Registrar of evidence of	
	default of others to provide	
	records, documents and	
	information [S. 12 (2)(c)]	
	V. MIGRATION OF FOUNDA	
12.	Application to the Registrar	125-00
	by the resident agent of an	
	overseas foundation to be	
	registered as a Samoan	
	Foundation [S. 60(1)]	
13.	Application by the	10-00
	foundation to file with the	
	Registrar a certificate or	
	other document evidencing	
	that the foundation has	
	ceased to be registered under	
	another law [S. 62(1)]	
1.4		10.00
14.	Filing of a Court order with	10-00
	the Registrar for the removal	
	of the foundation from the	
1.5	Register [S. 62(2) and (3)]	200.00
15.	Application by the	200-00
	foundation to the Registrar to remove the foundation from	
	the Register for the purposes	
	of being established as an overseas foundation [S.	
	_	
	63(1)]	

16.	Application by the	10-00
10.	Application by the foundation to file with the	10-00
	Registrar a certificate of	
	other document evidencing	
	that the foundation has been	
	established under another	
177	law [S. 69(1)]	10.00
17.	Filing of a Court order with	10-00
	the Registrar for the	
	restoration of the name of	
	the foundation to the	
10	Register [S. 69(2) and (3)]	10.00
18.	Filing of an order of the	10-00
	Court for cancellation of	
	removal of or modification	
	of a proposal for removal of	
	a foundation from the	
	Register [S. 70]	\
10	V. NAME OF FOUNDATION	
19.	Application by the resident	10-00
	agent to the Registrar for the	
	use of a name [Sch. 1 clause	
20	2(e)]	7 0.00
20.	Application to the Registrar	50-00
	by the Registered Agent for	
	the change of name of a	
	Foundation [Sch. 4 clause 1	
¥7¥	(5)]	ADEED
VI.	AMENDMENT OF THE CH	ARTER,
21	RULES AND PURPOSE	50.00
21.	Filing with the Registrar of	50-00
	deed amending the charter or	
22	the rules [Sch. 3 clause 1(3)]	50.00
22.	Filing with the Registrar of	50-00
	deed amending the purpose	
22	[Sch. 3 clause 3(1)]	50.00
23.	Filing with the Registrar of a	50-00
	Court order with the	
	Registrar for the amendment	
24	of the purpose [S. 7(3)]	50.00
24.	Filing of a Court order with	50-00
	the Registrar prohibiting a	
	change of purpose [S.	
	18(1)(c)(i)	

	T	ı
25.	Filing of a Court order cy-	50-00
	pres with the Registrar [S.	
	8(4)]	
26.	Filing of a Court order with	50-00
	the Registrar for the	
	amendment of the	
	constitution or the powers of	
	management of the council	
	[S. 76]	
VII. CI	HANGE OF NATURE OF BEI	NEFICIARY
27.	Filing of a Court order as to	10-00
	change of the nature of a	
	beneficiary [S. 17(5)]	
VI	II. DISQUALIFICATION OF	RDERS
28.	Filing with the Registrar of a	10-00
	disqualification order of the	
	Court or an order of the	
	Court for the renewal or	
	revocation of such an order	
	[Sch. 4 clauses 14 and 15]	
	IX. WINDING - UP	
29.	Filing of a notice of interest	10-00
	by an official liquidator with	
	the Registrar [S. 78(5)]	
30.	Application by the council to	10-00
	the Registrar for the	
	Registrar to appoint a	
	liquidator on a council's	
	liquidation [S. 79(1)(b)]	
31.	Filing with the Registrar by	10-00
	the council of a copy of the	
	resolution for the council's	
	winding-up [S. 79(2)]	
32.	Filing with the Registrar of	10-00
	the Court's winding-up order	
	[S. $80(2)(b)(i)$]	
33.	Filing with the Registrar a	10-00
	notice of completion of	
	winding-up [S.	
	81((9)(iii)(A)]	
34.	Filing with the Registrar	50-00
	either an account of the	
	winding-up or a certificate of	
	solvency [S. 81(9)(iii)(B)]	
I	[551, che] [5, 51()/(m/(b)]	I

35.	Filing a statement of affairs	50-00
	of the foundation with the	
	Registrar [S. 82(2)]	
36.	Filing with the Registrar of	50-00
	any information on the	
	liquidation or a further	
	statement of the affairs of the	
	foundation as the Registrar	
	may require [S. 82(7)]	
37.	Appointment or removal of a	100-00
	liquidator by the	
	Registrar [S. 83(1)(b)]	
38.	Submission of contention to	100-00
	the Registrar regarding the	
	liquidator's acts, omissions	
	or powers [S. 83(4)(b)]	
39.	Application to the Registrar	10-00
	to inspect the books of the	
	liquidator and of the	
	foundation [S. 83(7)]	
40.	Application by the liquidator	50-00
	or the council to the	
	Registrar for investment of	
	excess funds [S. 83(11)]	
41.	Fixing a date or extending	50-00
	the date by which creditors	
	must prove where there is a	
	council's winding-up [S.	
	86(6) and (7)]	
42.	Application to the Registrar	50-00
	for removal of the	
	foundation from the Register	
	following the completion of	
	the winding-up [S.87]	
43.	Application to the Registrar	100-00
	to reinstate a foundation [S.	
	88]	
	K. STRIKE OFF AND RESTO	
44.	Application for restoration to	100-00
	the Register for a foundation	
	which has been struck off	
	and filing of and document	
	or information in that respect	
	S.76A(6)(a) and (d).	

45.	Notice by the foundation or	10-00
	its agent that it does not	
	intend to pay the prescribed	
	annual renewal fee	
	[S.76A(10)]	
XI CONSOLIDATION AND		
	MERGER OF FOUNDATIO	,
46	Application by the resident	200-00
	agent of the surviving entity	
	with an approved plan for	
	the consolidation or merger	
	of foundations and with	
	documents and evidence	
	required [S.74A, 74B, 74C	
	and 74D]	
	_	
	EARCH OF PART A OF THE	
	EARCH OF PART A OF THE ND PROVISION OF COPIES	FROM
AN	EARCH OF PART A OF THE ND PROVISION OF COPIES PART A OF THAT REGIST	FROM ER
	EARCH OF PART A OF THE ND PROVISION OF COPIES PART A OF THAT REGIST For a search of Part A of the	FROM
47	EARCH OF PART A OF THE NO PROVISION OF COPIES PART A OF THAT REGIST For a search of Part A of the Register [Sch. 3 clause (2)]	FROM ER 15-00
AN	EARCH OF PART A OF THE NO PROVISION OF COPIES PART A OF THAT REGIST For a search of Part A of the Register [Sch. 3 clause (2)] For the provision by the	FROM ER 15-00
47	EARCH OF PART A OF THE ND PROVISION OF COPIES PART A OF THAT REGIST For a search of Part A of the Register [Sch. 3 clause (2)] For the provision by the Registrar of a copy of any	FROM ER 15-00 1-00 (per page)
47	EARCH OF PART A OF THE ND PROVISION OF COPIES PART A OF THAT REGIST For a search of Part A of the Register [Sch. 3 clause (2)] For the provision by the Registrar of a copy of any document forming part of	FROM ER 15-00 1-00 (per page) and
47	EARCH OF PART A OF THE ND PROVISION OF COPIES PART A OF THAT REGIST For a search of Part A of the Register [Sch. 3 clause (2)] For the provision by the Registrar of a copy of any document forming part of Part A of the Register [Sch.	FROM ER 15-00 1-00 (per page) and 15-00
47	EARCH OF PART A OF THE ND PROVISION OF COPIES PART A OF THAT REGIST For a search of Part A of the Register [Sch. 3 clause (2)] For the provision by the Registrar of a copy of any document forming part of	FROM ER 15-00 1-00 (per page) and
47	EARCH OF PART A OF THE ND PROVISION OF COPIES PART A OF THAT REGIST For a search of Part A of the Register [Sch. 3 clause (2)] For the provision by the Registrar of a copy of any document forming part of Part A of the Register [Sch.	FROM ER 15-00 1-00 (per page) and 15-00

SCHEDULE 2

Regulation 4

FORMS

FORM FFR1 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building , P.O. Box, Apia, Samoa

Dated:

APPLICATION FOR REGISTRATION OF A FOUNDATION (see Section 3 and

- 1. Name and address of resident agent (see Section 3(2) and Clause 6(3)(f) Schedule 4 of the Act):
- 2. Proposed name of the foundation (see Clause 1 (a) and Clause 2 Schedule 1 of the Act): Note: The name must end with the word "Foundation":
- 3. Names and addresses of the proposed members of the council (see Clause 6(3)(c) Schedule 4 of the Act) see consent to act below:
- 4. Name and address of the proposed supervisory person (if any) (see Clause 6(3)(d) Schedule 4 of the Act see consent to act below):
- 5. Name and address of the proposed guardian (see Clause 6(3)(e) Schedule 4 of the Act see consent to act below): NOTE: In the circumstances in Section 11(1)(a) of the Act, the foundation must have a guardian:
- 6. Confirmation that the address set out in Section 1 above is to be the registered office of the foundation (see Clause 6(3)(f) Schedule 4 of the Act):
- 7. Confirmation that the fee of [US\$200-00] has been paid or is provided with this application form (see below for details of payment):

DOCUMENTS WHICH MUST ACCOMPANY THIS APPLICATION:

- (1) The charter of the foundation (subscribed by the founder or the resident agent see Section 3(1)(b) and Clause 6(3)(a) Schedule 4 of the Act).
- (2) The Declaration by the resident agent (see Section 3(3) of the Act, which sets out the content required, and Clause 6(3)(b) Schedule 4 of the Act).
- (3) The written consents to act from the supervisory person (if any) and from the guardian (see paras 4 and 5 above).

NOTES:

- (a) You may be required to provide such other documents or information as the Registrar may require (see Section 3(2)(b) and Clause 6(3)(h) Schedule 4 of the Act).
- (b) You MUST retain a copy of this Form FFR1 stamped by SIFA at all times at the registered office of the foundation and a copy of that Form FFR1 MUST accompany every annual application for renewal (see Clause 6(6) Schedule 4 of the Act).
- (c) Details for the payment of the fee for this application are as follows:

SIGNED for the resident agent: Print full name:

SIFA/Form FFR1 - 24-2-2017

FORM FFR2 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building, P.O. Box, Apia, Samoa

Dated:

ANNUAL APPLICATION FOR RENEWAL OF REGISTRATION OF A FOUNDATION (see Clause 6(6) Schedule 4 of the Act):

NOTE: The application for renewal of registration is to be provided to SIFA by the resident agent with payment of the annual renewal fee [US\$200-00] not later than 31st March in each calendar year and there are quarterly penalties for late renewal.

- 1. Name and address of the resident agent:
- 2. Name and registered office address of the foundation:
- 3. Registered number of the foundation:
- 4. Changes in any particulars of registration (these will be changes to the information provided for registration in Form FFR1 (as may have been subsequently changed and notified to the Registrar)).

NOTES:

- (a) This Form FFR2 MUST be accompanied by a copy of Form FFR1 (for the original registration of the foundation and also by copies of any previous Forms FFR2 and FFR3 (which have notified to the Registrar changes of any registered particulars relating to the foundation) and any copy of Form FFR4 (which is an application by the founder or an official to rectify the Register).
- (b) If you notify changes of registered particulars to the Registrar in this Form FFR2, you MUST retain a copy of this Form FFR2 stamped by SIFA at all times at the registered office of the foundation and a copy of that Form FFR2 MUST accompany every annual application for renewal of registration of the foundation.

(c) Details for the payment of the renewal fee are as follows:

SIGNED for the resident agent: Print full name:

SIFA/Form FFR2 – 24-2-2017

FORM FFR3 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building , P.O. Box, Apia, Samoa

Dated:

NOTICE OF CHANGE OF REGISTERED PARTICULARS OF A FOUNDATION (see Clause 9(1) Schedule 4 of the Act)

NOTE: This Notice must be accompanied by payment of the fee of [US\$10-00].

- 1. Name and address of the resident agent:
- 2. Name and registered office address of the foundation:
- 3. Registered number of the foundation:
- 4. Changes in any registered particulars of the foundation (these will be changes to the information provided for registration in Form FFR1 (as may have been subsequently changed and notified to the Registrar).

NOTES:

- (a) This Form FFR3 MUST be accompanied by a copy of Form FFR1 (for the original registration of the foundation) and also by copies of any previous Forms FFR2 and FFR3 (which have notified to the Registrar changes of any registered particulars relating to the foundation) and by any copy of Form FFR4 (being an application by the founder or an official to rectify the Register)).
- (b) If you notify changes of registered particulars to the Registrar in this Form FFR3, you MUST retain a copy of this Form FFR3 stamped by SIFA at all times at the registered office of the foundation and a copy of that Form FFR3 MUST accompany every annual application for renewal of registration of the foundation.

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	Regulations 2017	

(c) Details for the payment of the fee are as follows:

SIGNED for the resident agent: Print full name:

SIFA/Form FFR3 – 24-2-2017

FORM FFR4 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building , P.O. Box, Apia, Samoa

Dated:

APPLICATION TO RECTIFY THE REGISTER (SEE Clause 9(4) Schedule 4 of the Act):

NOTE: This Notice must be accompanied by payment of the fee of [US\$10-00].

- 1. Name and address of the founder or of the official making the application:
- 2. Name and registered office address of the foundation:
- 3. Registered number of the foundation:
- 4. Details of the rectification of the Register applied for (these will be changes to the information provided for registration in Form FFR1 (as may have been subsequently changed and notified to the Registrar)).

NOTES:

- (a) This Form FFR4 MUST be accompanied by a copy of Form FFR1 (for the original registration of the foundation) and also by copies of any previous Forms FFR2 and FFR3 (which have notified to the Registrar changes of any registered particulars relating to the foundation) and by any copy of a previous Form FFR4.
- (b) If you notify changes of registered particulars to the Registrar in this Form FFR4, you MUST retain a copy of this Form FFR4 stamped by SIFA at all times at the registered office of the foundation and a copy of that Form FFR4 MUST accompany every annual application for renewal of registration of the foundation.

(c) Details for the payment of the fee for this application are as follows:

SIGNED by or on behalf of the founder or by the official:

Print full name:

SIFA/Form FFR4 – 24-2-2017

FORM FFR5 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building , P.O. Box, Apia, Samoa

Dated:

APPLICATION BY THE RESIDENT AGENT TO BE REMOVED AS RESIDENT AGENT (See Clause 21(1) Schedule 4 of the Act)

NOTE: This Notice must be accompanied by payment of the fee of [US\$10-00].

- 1. Name and address of the resident agent making the application:
- 2. Name and registered office address of the foundation:
- 3. Registered number of the foundation:

We, the licensed and registered trustee company which is the resident agent of the above named foundation hereby apply to be removed as resident agent of that foundation.

NOTES: Details for the payment of the fee for this application are as follows:

SIGNED for the resident agent: Print full name:

SIFA/Form FFR5 - 24-2-2017

FORM FFR6 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building , P.O. Box, Apia, Samoa

Dated:

NOTICE OF CHANGE OF RESIDENT AGENT AND OF REGISTERED OFFICE (see Clause 2(2) and 9(1) Schedule 4 of the Act)

NOTE: This Notice must be accompanied by payment of the fee of [US\$10-00].

- 1. Name and address of the resident agent making the application:
- 2. Name and registered office address of the foundation:
- 3. Registered number of the foundation:

We, the licensed and registered trustee company which is the resident agent of the above named foundation hereby give you notice as follows:

- (1) The resident agent of the above mentioned foundation has changed to [Name and address of the trustee company which is the new resident agent of the foundation]:
- (2) The address of the new resident agent is the new address of the registered office of the foundation.

NOTES: Details for the payment of the fee for this application are as follows:

SIGNED for the resident agent: Print full name:

SIFA/Form FFR6 - 24-2-2017

FORM FFR7 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building, P.O. Box, Apia, Samoa

Dated:

APPLICATION FOR REGISTRATION OF AN OVERSEAS FOUNDATION IN SAMOA (see Section 60(1) of the Act):

- 1. Name and address of the proposed new resident agent in Samoa (see Section 60(4) and Clause 5 Schedule 5 of the Act), who is the applicant:
- 2. Proposed name of the foundation (see Clause 1 (a) and Clause 2 Schedule 1 and Clause 8 Schedule 5 of the Act): Note: The name must end with the word "Foundation":

NOTE: The application must include or be accompanied by "the migration details" (see Section 60(2)(a) and Schedule 5 of the Act and the matters referred to in this Form FFR7).

- 3. Names and addresses of every current official of the overseas foundation (see Clause 3 Schedule 5 of the Act) and the written consent to act of every member of the council (see Clause 6(3)(c) of Schedule 4 of the Act):
- 4. The address of the overseas foundation's registered office in the country in which it is currently registered or established (see Clause 4 Schedule 5 of the Act):
- 5. Name and address of the proposed supervisory person (if any) (see Clause 7 Schedule 5 of the Act) and the written consent to act of that person (see Clause 6(3)(d) Schedule 4 of the Act):
- 6. Name and address of the proposed guardian (see Clause 6 Schedule 5 of the Act) and the written consent of that person to act (see Clause 6(3)(e) Schedule 4 of the Act): NOTE: In the circumstances in Section 11(1)(a) of the Act the foundation must have a guardian:

- 7. Confirmation that the address set out in para 1 above is to be the registered office of the foundation (see clause 6(3)(f) Schedule 4 of the Act):
- 8. Confirmation that the fee of [US\$125-00] has been paid or is provided with this application form (see below for details of payment):

DOCUMENTS WHICH MUST ACCOMPANY THIS APPLICATION:

- (1) A copy of the certificate of registration (or similar document) of the overseas foundation in the country in which it is currently registered or established (see Clause 1 Schedule 5 of the Act).
- (2) A copy of the charter and rules of the foundation (subscribed by the founder or the resident agent see Section 3(1)(b), Clause 6(3)(a) Schedule 4 and Clause 2 Schedule 5 of the Act), which will be binding on the foundation when it has been registered in Samoa (*Note: This must comply with the requirements of the Act*).
- (3) If different in any respect, a copy of the constitution of the overseas foundation(see Clause 2 Schedule 5 of the Act).
- (4) The Declaration by the resident agent (see Section 3(3) of the Act, which sets out the content required, and Clause 6(3)(b) Schedule 4 of the Act).
- (5) Evidence acceptable to the Registrar that the foundation has complied with Sections 58 and 59 of the Act (see Clause 10(a) Schedule 5 of the Act).

NOTES:

- (a) You may be required to provide such other documents or information as the Registrar may require (see Clause 9 Schedule 5 of the Act).
- (b) You MUST retain a copy of this Form FFR7 stamped by SIFA at all times at the registered office of the foundation and a copy of that Form FFR7 MUST accompany every annual application for renewal (see Clause 6(6) Schedule 4 of the Act).

(c) Details for the payment of the fee for this application are as follows:

SIGNED for the resident agent: Print full name:

SIFA/Form FFR7 – 24-2-2017

FORM FFR8 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building, P.O. Box, Apia, Samoa

Dated:

APPLICATION FOR REMOVAL OF A SAMOAN FOUNDATION FROM THE REGISTER FOR THE PURPOSE OF BECOMING ESTABLISHED AS AN OVERSEAS FOUNDATION (see Section 63 of the Act):

1. Name and address of the resident agent (see Section 67(1) of the Act), who is the applicant:

The applicant hereby confirms that the constitution of the foundation provides for its removal from Samoa (see Section 64 of the Act), that no grounds exist to prevent the removal of the foundation from the Register (see Section 65 of the Act) and that the foundation has given written notice to all its creditors and participants that it intends to make his application (see Section 66 of the Act).

- 2. Name of the foundation:
- 3. Registered number of the foundation:
- 4. Confirmation that the fee of [US\$125-00] has been paid or is provided with this application form (see below for details of payment):

DOCUMENTS WHICH MUST ACCOMPANY THIS APPLICATION:

- (1) Written confirmation from the Attorney General that he or she has no objection to the removal of the foundation from the Register (see Section 67(2)(a) of the Act).
- (2) Declaration of compliance by the resident agent (see Section 67(2)(d) of the Act) comprising the content set out in Section 71(1) of the Act.

(3) Evidence acceptable to the Registrar that the removal of the foundation from the Register complies with the requirements of Sections 67, 68 and 69 of the Act (see Section 67(2)(b)(i) of the Act).

NOTES:

- (a) You may be required to provide such other information or documents verified in a manner required by the Registrar (see Section 67(2)(c) of the Act).
- (b) Details for the payment of the fee for this application are as follows:

SIGNED for the resident agent: Print full name:

SIFA/Form FFR8 - 24-2-2017

FORM FFR9 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building , P.O. Box, Apia, Samoa

Dated:

APPLICATION FOR THE USE OF A NAME FOR A FOUNDATION (see Clauses 2(e) Schedule 1 of the Act):

NOTE: This Notice must be accompanied by payment of the fee of [US\$10-00].

- 1. Name and address of the proposed resident agent making the application:
- 2. The name for the proposed foundation which is hereby applied for is:

"Foundation"

NOTES: Details for the payment of the fee for this application are as follows:

SIGNED for the resident agent: Print full name:

SIFA/Form FFR9 – 24-2-2017

FORM FFR10 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building, P.O. Box, Apia, Samoa

Dated:

APPLICATION FOR CHANGE OF NAME OF A FOUNDATION (see Clause 1(5) Schedule 4 of the Act):

NOTE: This Notice must be accompanied by payment of the fee of [US\$50-00].

- 1. Name and address of the proposed resident agent making the application:
- 2. Name and registered office address of the foundation:
- 3. Registered number of the foundation:

We, the licensed and registered trustee company, which is the resident agent of the above mentioned foundation, hereby apply for the change of name of the foundation to

"Foundation"

NOTES: Details for the payment of the fee for this application are as follows:

SIGNED for the resident agent: Print full name:

SIFA/Form FFR10 - 24-2-2017

FORM FFR11 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building , P.O. Box, Apia, Samoa

Dated:

NOTICE OF INTEREST OF AN OFFICIAL LIQUIDATOR (see Section 78(5) of the Act):

NOTE: This Notice must be accompanied by payment of the fee of [US\$10-00].

- 1. Name and address of the official liquidator of the foundation:
- 2. Name and registered office address of the foundation:
- 3. Registered number of the foundation:
- 4. Details of the interest of the official liquidator within the meaning set out in Section 78(5) of the Act:

NOTE:

Details for the payment of the fee for this application are as follows:

SIGNED by the official liquidator: Print full name:

SIFA/Form FFR11 – 24-2-2017

FORM FFR12 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building, P.O. Box, Apia, Samoa

Dated:

APPLICATION BY THE COUNCIL FOR THE **APPOINTMENT** OF A LIQUIDATOR (see Section 79(1)(b) of the Act):

NOTE: This Application must be accompanied by payment of the fee of [US\$10-00].

- 1. Name and registered office address of the foundation:
- 2. Registered number of the foundation:

The Council has resolved that the foundation be wound-up by reason of the occurrence of a council's winding-up event and the council hereby applies to the Registrar for the appointment of a liquidator.

A copy of the resolution of the council for the winding-up is attached to this Application.

The reason why the council applies for the appointment of a liquidator is as follows:

NOTE:

Details for the payment of the fee for this application are as follows:

SIGNED by a member of the council of the foundation: Print full name:

SIFA/Form FFR12 – 24-2-2017

FORM FFR13 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building , P.O. Box, Apia, Samoa

Dated:

NOTICE OF COMPLETION OF WINDING-UP (see Section 81(9)(a)(iii) of the Act):

NOTE: This Notice must be accompanied by payment of the fee of [US\$10-00].

- 1. Name and registered office address of the foundation:
- 2. Registered number of the foundation:
- 3. If the liquidation is being carried out by a liquidator, the name and address of the liquidator:
- 4. Date of completion of the liquidation:
- 5. This statement is in the alternative:

Either: We, the council of the foundation, have carried out and completed the winding-up of the foundation and hereby file with Registrar a Notice of completion of the winding-up and a Certificate of solvency of the foundation.

Or: I, the liquidator of the foundation (whether appointed by the Court or by the Registrar upon the written request of the Council), have carried out and completed the winding-up of the foundation and hereby file with the Registrar a Notice of completion of the winding-up and a copy of the Account of the winding-up.

NOTE:

Details for the payment of the fee for this application are as follows:

Either - SIGNED by a member of the council of the foundation:

Print full name:

 ${\it Or}$ – SIGNED by the liquidator of the foundation:

Print full name:

SIFA/Form FFR13 – 24-2-2017

FORM FFR14 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building, P.O. Box, Apia, Samoa

Dated:

APPLICATION FOR THE REMOVAL OF THE FOUNDATION FROM THE REGISTER FOLLOWING THE WINDING-UP (see Section 87 of the Act):

NOTE: This Notice must be accompanied by payment of the fee of [US\$50-00].

- 1. Name and registered office address of the foundation:
- 2. Registered number of the foundation:
- 3. Name and address of the resident agent:
- 4. If the liquidation is being carried out by a liquidator, the name and address of the liquidator:
- 5. Date of completion of the liquidation (see Section 87(2)(b) of the Act):
- 6. The winding-up event which led to the winding-up stating whether this was a council's winding-up event or a Court's winding-up event (see Section 87(2)(a) of the Act):

NOTE:

Details for the payment of the fee for this application are as follows:

Either - SIGNED by a member of the council of the foundation:

Print full name:

Or - SIGNED by the liquidator of the foundation:

Print full name:

 ${\it Or}$ – SIGNED by the resident agent of the foundation:

Print full name:

SIFA/Form FFR14 – 24-2-2017

FORM FFR15 FOUNDATIONS ACT 2016 ("Act")

TO BE PROVIDED TO SIFA at SIFA at Level 6, Development Bank of Samoa Building , P.O. Box, Apia, Samoa

Dated:

APPLICATION FOR THE REINSTATEMENT OF THE FOUNDATION TO THE REGISTER (see Section 88 of the Act):

NOTE: This Notice must be accompanied by payment of the fee of [US\$100-00].

- 1. Name and former registered office address of the foundation:
- 2. Former registration number of the foundation:
- 3. Date of removal of the foundation from the Register (see Section 87(2)(b) of the Act):
- 4. Reason for the application for re-instatement of the foundation to the Register:

NOTE:

Details for the payment of the fee for this application are as follows:

Either - SIGNED by an official of the foundation:

Print full name:

Or - SIGNED by a participant of the foundation:

Print full name:

Or - SIGNED by a creditor of the foundation:

Print full name:

SIFA/Form FFR15 - 24-2-2017

FORM FFR16

SAMOAN FOUNDATIONS ACT 2016 ("ACT")

TO BE PROVIDED TO SIFA at Level 6, Development Bank of Samoa Building, P. O. Box 3265, Apia, Samoa Dated:

APPLICATION FOR RESTORATION TO THE REGISTER FOR A FOUNDATION WHICH HAS BEEN STRUCK OFF AND FILING OF ANY DOCUMENT OR INFORMATION IN THAT RESPECT (see Section 76A(6)(a)(b)of the Act)

Note: This application must be accompanied by payment of the fee [US\$100]

State the due cause why it would be just for the foundation to be restored to the Register (Section 92(6)(b) of the Act):

NOTES:

- (a) You may be required to provide such document or information or to take such actions as the Registrar may direct before restoration to the Register (if the application is approved) can take place (Section 92(6)(d) of the Act).
- (b) Details for payment of the fee for this application are as follows:

Either – SIGNED for the foundation:

(Member of the council)

Print full name:

Or - SIGNED for the resident agent:

Print full name:

Or - SIGNED by the appointed individual:

Print full name:

Or - SIGNED by the guardian:

Print full name:

Or - SIGNED by a creditor of the foundation:

Print full name:

Print address:

Or - SIGNED by the liquidator of the foundation:

Print full name:

FORM FFR17

SAMOAN FOUNDATIONS ACT 2016 ("ACT") TO BE PROVIDED TO SIFA at Level 6, Development Bank of Samoa Building, P. O. Box 3265, Apia, Samoa Dated:

NOTICE THAT THE FOUNDATION DOES NOT INTEND TO PAY THE PRESCRIBED ANNUAL RENEWAL FEE (see Section 76A (10) of the Act)

Note: This notice must be accompanied by payment of the fee [US\$10]

Please take note that [NAME OF FOUNDATION] does not intend to pay its next annual renewal fee.

NOTE:

Details for payment of the fee for this notice are as follows:

Either – SIGNED for the foundation:

(Member of the council)

Print full name:

Or - SIGNED for the resident agent:

Print full name:

SIFA/Form FFR17 - 25-7-2017

FORM FFR18

SAMOAN FOUNDATIONS ACT 2016 ("ACT") TO BE PROVIDED TO SIFA at Level 6, Development Bank of Samoa Building, P. O. Box 3265, Apia, Samoa Dated:

APPLICATION BY THE RESIDENT AGENT OF THE SURVIVING ENTITY WITH AN APPROVED PLAN FOR THE CONSOLIDATION OR MERGER OF FOUNDATIONS (see Section 74A, 74B, 74C and 74D of the Act)

Note: This application must be accompanied by payment of the fee [US\$200]

Names of foundations being consolidated or merged:

- (1)
- (2)

Name of the surviving entity:

As resident agent of the surviving entity, we hereby apply, in accordance with Section 96 of the Act, for a certificate of consolidation or merger (as the case may be)

NOTES:

- (a) You must deliver or send to the Registrar with this application all the documents required by Section 96(1)(b) and (2) of the Act.
- (b) Details for payment of the fee for this application are as follows:

SIGNED by the resident agent of the foundation, by its director:

Print full name:

SIFA/Form FFR20 - 25-7-2017

Issued under the authority of the Regulations Ordinance 1953.

Date of Commencement: 4th December 2017

These Regulations are administered by the Samoa International Finance Authority.

Copies of these Regulations can be purchased from the Office of the Clerk of the Legislative Assembly.

Printed by the Clerk of the Legislative Assembly, by authority of the Legislative Assembly.